

# Addendum: Data-quality user guide

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### National Centre for Vocational Education Research





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## Background

The VET sector currently uses several data validation and quality checking processes referred to as "gates" to improve the quality of data submitted by data agencies (e.g. registered and non-registered Training Organisations (TOs), State Training Authorities (STAs) and Board of Studies (BoS)). These gates detect errors or flaws in the data that are then addressed by the relevant data agency.

#### Gate 1 - AVETMISS

The Australian Vocational Education and Training Management Information Statistical Standard (AVETMISS) is a national data standard which defines what data must be reported to NCVER by data providers. The standard includes detailed information to assist providers with understanding their reporting obligations. Refer to AVETMISS for more information.

# Gate 2 - AVETMISS Validation Software (AVS) - validation errors and warnings

The AVETMISS Validation Software (AVS) is a web-based data file validation and submission system. It supports the National VET Provider, National VET in Schools and National Apprentice and Trainee collections, and is used by STAs, BoS and TOs.

AVS generates errors against data records that do not comply with the business rules in the AVETMIS Standard. These errors are then reviewed and fixed by data providers before they submit their final data to NCVER. AVS validations include:

- valid values (e.g. checks that only F, M, X and @ are the only values reported for Gender).
- correct file formatting (e.g. checks the records are the correct length).
- file cross-referencing (e.g. checks where a program reported in the program file has a corresponding enrolment in the enrolment file).
- record sequencing and dependencies (e.g. checks an apprentice can't withdraw from Apprenticeship /Traineeship before they have started it).

AVS also generates validation warnings against data records that are identified as outside the normal limits expected for the data (e.g. it is expected if a student is aged less than 15 years old then they would be reported as being at school so the At School Flag should be Y).

Further instructions on AVS validation can be found in our AVS User Guide.

## Gate 3 - AVS data-quality

AVS also performs data-quality checks and produces reports that profile data for STA and BoS prior to submission. These include:

- potential outliers or inconsistencies reported within the collection (e.g. students reported with more than 40 enrolments in a single collection period).
- potential over or under reporting of training activity by comparing to the previous collection (e.g. identifies enrolments/program completions that are being reported for the second time).

- changes to previously reported data (e.g. identifies students where their Indigenous status has changed between collections).
- cross reference to external data sources including Training.gov.au and National Apprentice and Trainee collection (e.g. cross references identifiers used in the National VET Provider collection to determine that a student is an apprentice or trainee are the same ones reported in the National Apprentice and Trainee collection).

AVS generates data-quality reports to monitor and compare training activity within and across collections (e.g. frequency counts on key fields which compare the current collection with the previous corresponding collection such as comparing 2020 and 2021 January-December data).

All data validation and data-quality checks for STAs and BoS must be error free before finalisation of their submission to the National VET Provider, VET in Schools and Apprentice and Trainee Collections.

See Section 3: AVS data-quality for more information.

#### Gate 4 - Data agency review

STAs and BoS review their data using their own internal controls to assess the accuracy of their data before submission to NCVER (e.g. check that each training organisation has reported all the training they are contracted to deliver). This is not included in this document.

#### Gate 5 - Data trend monitoring

For STAs and BoS submissions, NCVER reviews the data trends that were observed and compares the results with the previous corresponding quarter, this includes looking for outliers in data trends and then investigating anomalies (e.g. more than 5% shift in training activity/completions or large shifts in demographics).

The number of data validations and data-quality checks applied across each gate varies depending on the collection and type of submitter.

# **Section 1: AVS data-quality process**



**Step 1: Log in and upload your data** - Log into the <u>AVETMISS Validation Software (AVS)</u> and navigate to the *Collection Processing* page to upload and validate your data. AVS will validate your data against the relevant AVETMISS Standard (VET Provider or Apprentice and Trainee Collections). Refer to AVS User Guide for more information.

**Step 2: Review** your data validation results on the *Collection Processing* page within AVS. There are two types of checks:

• Validation checks - validates the data against the relevant AVETMIS standard. See the full list of validation checks by selecting the Export AVS Rules button on *Collection Processing* page within AVS. Refer to AVS User Guide for more information.

You need to address the validation errors first before working on data-quality errors.

• **Data-quality checks** - validates the data against NCVER's data-quality program to ensure it is accurate. See <u>full list of VET and Apprentice and Trainee data-quality errors</u>. Refer to Section 3: AVS data-quality for more information.

Review your **AVS data-quality reports** on the *Collection processing* screen and clicking the **View reports** button. Refer to *Section 3: AVS data-quality* for more information.

**Step 3: Internal review** - data agencies review your data by using your own internal controls to assess the accuracy of your data before submitting data to NCVER

**Step 4: Apply for any data-quality exemptions (**if required) - If you are unable to resolve your errors, you may apply to NCVER for an exemption via the *Collection Quality Details* page within AVS. Refer to *Section 3: AVS data-quality* for more information.

Step 5: Submit your data to NCVER. Refer to AVS User Guide for more information.

Step 6: NCVER monitors the data trends and advises STAs or BoS (if required).

Further instructions on using all other features of AVS can be found in our AVS User Guide.

# Section 2: Additional functions for VET and ViS collections only

For STAs and BoS processing data in AVS for the National VET Provider and National VET in Schools collections there are three additional check boxes available on the *Collection Processing* screen:

- Validate as RTO
- Allowing continuing outcomes for activity ending this year
- Partial validation skip AVS data-quality

Home / History / Processing	
Collection Details	
Organisations	NCVER VET ~
Туре	VET Provider Collection
Year	2021 🗸
Period	Jan-Dec 🗸
AVETMISS Version	8.0
Collection Period	01/01/2021 - 31/12/2021
Validate RTO collection	
Partial validation - Skip data- quality	If you tick this checkbox, data-quality checks will not run and AVS will report a status of 'Part validated'. As data-quality checks must be applied prior to submitting the data to NCVER, this checkbox must be unchecked and data validated when finalising the submission.
Allow continuing outcomes for activity ending this year	Validations run with this checkbox ticked will result in a Status of 'Part-Validated' for successfully validated data. This checkbox must not be used when validating your final Jan-Dec submission.

Further instructions on using all other functions on the *Collection Processing* screen can be found in our AVS User Guide.

#### VET and ViS collections - validate as RTO

For STAs or BoS, an additional check box becomes available allowing for validation as an RTO on the *Collection Processing* screen when processing the National VET Provider and National VET in Schools collection. This functionality enables STAs/BoS to upload, validate and review errors/warnings for an individual RTO (but does not allow submission of data).

# VET and ViS collections - allowing continuing outcomes for activity ending this year

In the Jan-Dec period only, the "allow continuing outcomes for activity ending this year" tick box also becomes available to RTOs for validation of data to an STA where notified by an STA. This prevents E3252 from triggering: *If date < final month of the collection period and has an Outcome Identifier - National = 70.* NCVER is unable to accept submissions with this box checked.

# VET and ViS collections - skip AVS data-quality (partial validation)

There are instances where a STA or BoS may not want to run AVS data-quality (e.g. to check NAT files are in the correct format and valid values are reported).

Skipping data-quality allows you to partially validate a STA's or BoS's data in AVS and correct validation errors prior to running data-quality reducing your initial processing time.

Note: Both data validation and data-quality checks must be passed prior to finalising STA or BoS submissions to the National VET Provider and VET in Schools Collections.

To validate without running data-quality, on the Collection processing screen:

- 1. Select your collection parameters (Organisation, Type, Year and Period).
- 2. Tick the 'Partial validation skip data-quality' checkbox.

Home / History / Processing		
Collection Details		
Organisations	NCVER VET	~
Туре	VET Provider Collection	~
Year	2021 🗸	
Period	Jan-Dec 🗸	
AVETMISS Version Collection Period Validate RTO collection	8.0 01/01/2021 - 31/12/2021	
Partial validation - Skip data- quality	If you tick this checkbox, data-quality checks will no 'Part validated'. As data-quality checks must be applied prior to this checkbox must be unchecked and data submission.	t run and AVS will report a status of o submitting the data to NCVER, a validated when finalising the
Allow continuing outcomes for activity ending this year	0	

To turn AVS data-quality checks back on:

- 1. Untick the 'Partial validation skip data-quality' checkbox.
- 2. Upload the revised data and re-validate your data.

You will need to address any data-quality issues or apply for any data-quality exemptions before finalising and submitting your data.

Reminder: The AVS data-quality checks must be applied in order to submit data to NCVER.

# **Section 3: AVS data-quality**

AVS also performs data-quality checks and produces reports that profile data for STA and BoS prior to submission.

**Data-quality checks** - AVS validates the data against NCVER's data-quality program to assess the accuracy of the data. The overall approach to data-quality errors and warnings is that each data-quality check has a data-quality threshold (a percentage of acceptable tolerance). Where the percentage of records is above the allowed threshold it is reported as an AVS data-quality error, or as an AVS data-quality warning if below the threshold. NCVER reviews the data-quality thresholds quarterly. These include:

- potential outliers or inconsistencies reported within the collection (e.g. students reported with more than 40 enrolments in a single collection period).
- potential over or under reporting of training activity by comparing to the previous collection (e.g. identifies enrolments/program completions that are being reported for the second time).
- changes to previously reported data (e.g. identifies students where their Indigenous status has changed between collections).
- cross referencing to external data sources including Training.gov.au and the National Apprentice and Trainee collection (e.g. checks the identifiers used in the National VET Provider collection to determine that a student is an apprentice or trainee are the same ones reported in the National Apprentice and Trainee collection).

**Data-quality reports** - AVS produces reports that profile data for STAs and BoS prior to submission. These include:

- reports to monitor and compare training activity within and across collections (e.g. frequency counts on key fields which compare the current collection with the previous corresponding collection such as comparing 2020 and 2021 January-December data).
- reports on the number of students or apprentices or trainees reported with unknown demographics.

See <u>full list of VET and Apprentice and Trainee data-quality errors and reports</u>. You can also export them from the *Collection Quality Details* page within AVS.

#### VET and ViS collections: Data-quality errors and dataquality reports

- 1. Review your data validation results on the *Collection Processing* page within AVS. You need to address any validation errors prior to addressing any AVS data-quality errors. Refer to AVS User Guide for more information.
- 2. On the Collection processing page, the bold and <u>underlined</u> status of <u>Error</u> means the file has not validated successfully (i.e. not passed the validation and/or data-quality checks). The bold and underlined status of <u>Validated</u> means the file has validated successfully but contains warnings (i.e. has passed the validation and data-quality checks but contains warnings). Users should review all warnings prior to submitting their data. To establish why the files are failing click on <u>Error</u> in the status column. This could be triggered by either validation or data-quality errors.

Home / History / P	rocessing					
Collection Det	ails					
(	Organisations					
	Type	VET Devides Calls	ation		•	
	Vear	VET Provider Colle	ction		~	
	rear	2020 🗸				
	Period	Jan-Dec 🗸				
AVETM	ISS Version	3.0				
Colle	ction Period (	01/01/2020 - 31/12/2020				
Validate R	TO collection					
Partial validation	n - Skip data- (					
Add Files Prei	iminary Checks	Validate			Finalise Su	Ibmission
Add Files Prei	iminary Checks	Validate			Finalise St	ubmission
Add Files Prei	iminary Checks iles File Name	Validate		Records	Finalise Su Status	ubmission
Add Files Prei Submission F File Id NAT00010	iminary Checks iles File Name Training Or	Validate		Records	Finalise St Status Validated	ubmission
Add Files Prei Submission F File Id NAT00010 NAT00020	iminary Checks iles File Name Training Or Training Or	Validate ganisation ganisation Delivery Lo	ocation	Records	Finalise Status Status Validated Validated	ubmission
Add Files Prei Submission F File Id NAT00010 NAT00030A	illes File Name Training Or Training Or Program	Validate ganisation ganisation Delivery Lo	ocation	Records 2248	Finalise St Status Validated Validated Validated	
Add Files Prei Submission F File Id NAT00010 NAT00020 NAT00030A NAT00060	ilies File Name Training Or Training Or Program Subject	Validate ganisation ganisation Delivery Lo	ocation	Records 2248 8203	Finalise St Status Validated Validated Validated Validated	
Add Files Prei Submission F File Id NAT00010 NAT00020 NAT00030A NAT00080 NAT00080	iminary Checks iles File Name Training Or Training Or Program Subject Client Diability	ganisation ganisation Delivery Lo	ocation	Records 2248 8203 369722	Finalise St Status Validated Validated Validated Validated Validated	
Add Files Prei Submission F File Id NAT00010 NAT00020 NAT00030A NAT00060 NAT00080 NAT00090	ilies File Name Training Or Program Subject Client Disability	ganisation ganisation Delivery Lo	ocation	Records 2248 8203 369722 42373	Finalise St Status Validated Validated Validated Validated Validated Validated Validated	
Add Files         Prei           Submission F         File Id           NAT00010         NAT00020           NAT00000         NAT00000           NAT00080         NAT00090           NAT00100         NAT00100	iminary Checks iles File Name Training Or Program Subject Client Disability Prior Educa	ganisation ganisation Delivery Lo ational Achievement	ocation	Records 2248 8203 369722 42373 280124	Finalise St Status Validated Validated Validated Validated Validated Validated Validated	
Add Files Prei Submission F File Id NAT00010 NAT00020 NAT00030A NAT00080 NAT00080 NAT00090 NAT00100 NAT00120 NAT00120	iminary Checks iles File Name Training Or Program Subject Client Disability Prior Educa Training AC Program	ganisation ganisation Delivery Lo ational Achievement tivity	ocation	Records 2248 8203 369722 42373 280124 3128118 120161	Finalise St Status Validated Validated Validated Validated Validated Validated Validated Validated	
Add Files Prei  Submission F  File Id  NAT00010  NAT00030A  NAT00060  NAT00080  NAT00090  NAT00100  NAT00120  NAT00130	iminary Checks File Name Training Or Program Subject Client Disability Prior Educa Training Ac Program Co	Validate ganisation ganisation Delivery Lo ational Achievement tivity ompleted	ocation	Records 2248 8203 369722 42373 280124 3128118 139161	Status         Validated         Validated	

#### \*images have been modified for illustration purposes.

Note: The tick box options Validate RTO collection, Partial validation - Skip data-quality and Allowing outcomes for activity ending this year only exist on Collection processing screen when for STAs and BoS are processing their National VET provider or National VET in Schools collections.

3. The *Collection error/warning summary* screen will be displayed. This screen displays the different **validation** or **data-quality** errors/warnings associated with the file. Errors are sorted by code number and a description indicates the reason for the error occurring. Data-quality checks are displayed by reporting type so to expand click on '+'. To obtain further detailed information about an error/warning, click on the *number* in the *Count* column. Data-quality warnings should be reviewed and corrected where possible.

NAT0012	Organi Organi 0 - Traini	sation : 100 - NSW TAFE COMMISSION Type : VET AVETMISS Version : 8.0 Year : 2020 Period Start : 01 Jan 2020 Period : Jan-Dec Period End : 31 Dec 2020 ing Activity	
Validatio	n Checks	5	
		Records per page	10 🗸
Туре 🔺	Code	Description	Count
Warning	4622	It is expected that the Training Contract Identifier and Client Identifier - Apprenticeships are the same between records for this Client Identifier/Program Identifier combination	69
Warning	4756	It is expected that a client would only undertake full training and assessment and/or undergo recognition of prior learning once for a Subject identifier at a training organisation	5
Warning	4758	Reported Subject identifier has never been listed as an approved scope item for this training organisation on TGA. Please disregard this warning if you formerly had this item on your scope but it has since been superseded, or if this subject is delivered as an elective in a program where it meets the training package rules. Queries about what is included on your scope should be directed to your regulator.	20. s
Warning	4759	It is expected that a client would start this subject during the Training organisation's scope period listed on TGA. Check the reported information to ensure the correct subject identifier and activity dates are being reported	172
Warning	4760	It is expected that a client would start all activity for a program during the Training organisation's scope period listed on TGA. Check the reported information to ensure the correct program identifier, subject identifier/s and activity dates are being reported	9 319
		Showing 1 to 5 of 5 record(s)	<1 2 2
Export aut		cks	
Data Qua Reporting Type	Code	FE Records per page	10 V Count
Data Qua Reporting Type	Code 12078	FE Records per page Description Matching Client identifier - apprenticeships to apprentice and trainee collection Matching apprenticeship client and contract IDs to apprentice and trainee	10 Count
Data Qua Reporting Type	Code 12078 12023	FE Records per page Description Matching Client identifier - apprenticeships to apprentice and trainee collection Matching apprenticeship client and contract IDs to apprentice and trainee collection	10 Count 14601 238800
Type Error Error Warning	Code 12078 12023	FE Records per page Description Matching Client identifier - apprenticeships to apprentice and trainee collection Matching apprenticeship client and contract IDs to apprentice and trainee collection Client Profiles	10 Count 14601 23880 8285
Data Qua Reporting Type Error Error Warning Warning	Code 12078 12023 12020 12111	FE Records per page Description Matching Client identifier - apprenticeships to apprentice and trainee collection Matching apprenticeship client and contract IDs to apprentice and trainee collection Client Profiles International funding source Non-Accementation	10 Count 14603 238800 828:
Data Qua Reporting Type Error Error Warning Warning Warning	Code 12078 12023 12020 12111 12022 12020	FE Records per page Description Matching Client identifier - apprenticeships to apprentice and trainee collection Matching apprenticeship client and contract IDs to apprentice and trainee collection Client Profiles International funding source Non-Assessable activity Exercise methicity	10 Count 14603 238800 8285 24 24 24
Data Qua Reporting Type • Error Error Warning Warning Warning Warning	Code 12078 12023 12020 12111 12022 12026	FE Records per page Description Matching Client identifier - apprenticeships to apprentice and trainee collection Matching apprenticeship client and contract IDs to apprentice and trainee collection Client Profiles International funding source Non-Assessable activity Excessive activity	10 Count 23880 23880 828
Data Qua Reporting Type Error Error Warning Warning Warning Warning	Code 12078 12023 12020 12111 12022 12026	FE Records per page Description Matching Client identifier - apprenticeships to apprentice and trainee collection Matching apprenticeship client and contract IDs to apprentice and trainee collection Client Profiles International funding source Non-Assessable activity Excessive activity Total C	10 Count 14601 23880 828 2 151 Count : 3946

- 4. Click on Export Summary to download an Excel file with the count of records per data-quality check. Click on Export details to download the individual records that trigger each data-quality check.
- 5. The *Collection quality details* page will be displayed. Click on **Export details** to download the individual records (in Excel format) that trigger each data-quality check.

Thome / Thistory	/ Process	ing / Error-	Warning Sun	nmary / Collectio	n Quality Details			
	Organisa	tion : VET			AVETMISS	lancing : 1	10	
	Y	/ear: 202	0		Perio	d Start : (	1 Jan 2020	
	Per	riod : Jan	Dec		Peri	od End : 3	1 Dec 2020	
Quality Code:	12023		File: Trai	ining Activity	Na cli ap	ame: Match lent and co prentice a	ning apprenti Intract IDs to nd trainee co	ceship liection
Description								
Details the Clie the current dat Apprenticeship	ent identifie ta collection o Collection	er - apprent n that do no 1.	iceships, Tra ot exist in the	ining contract id Training contract	entifier and Prog at transaction (AF	ram identifi PP00150) fi	er combination le as part of th	ns reported in te National
This check refe via AVS.	erences ya	ur state's N	lational Appr	enticeship Colle	ction data that wa	as submitte	d for the previ	ous quarter
Please review State/Territory	and if necr training sy	essary upd stem and u	ate the Client pdate the Tra	t identifier - appr aining activity (N	enticeships and/ AT00120) file.	or Training	contract identi	fier in your
Reporting Type	a: 52010 cords: 163 shold: 5% reshold: 3%	12 2.5%				R	cords per page	10 🗸
Identified Records Allowed Thre Recorded Thr Reporting Typ Identified Rec Trg Out Org Id	s: 52010 ords: 169 shold: 5% reshold: 5% c: TAFE cords come [	2.5% Delivery	Client Id	USI	Program Id	Ri Subject I	d Client	10 V Training Contract
Identified Rec Recorded Thr Recorded Thr Rec	s: 52010 sords: 169 shold: 5% reshold: 5% reshold: 3: r: TAFE cords tcome [	2.5% Delivery Location	Client Id	USI	Program Id	Ri Subject I	d Cilient Id - APP	10 V Training Contract Id
Identified Rec Allowed Thre Recorded Thr Recorded Thr Recorded Thr Reporting Typ Identified Rec Trg Out Org Id	activity f s: 52010 bords: 163 shold: 5% reshold: 3: c: TAFE cords tcome [	12 2.5% Delivery Location	Cilent Id	USI	Program Id	Ri Subject I	d Cilent Id - APP	Training Contract Id
Identified Rec Trg Out Org Id	I Activity P Is 52010 bords: 165 shold: 5% reshold: 3: e: TAFE bords loome [	2.5% Delivery Location	Client Id	USI	Program Id	Ri Subject I	d Cilent Id - APP	Training Contract Id
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Identified Rec Trg Out Org Identified Rec	Lactivity P is 52010 pords: 169 shold: 5% reshold: 3; e: TAFE pords iccome []	Dellvery Location	Client Id	USI	Program Id	R. Subject I	d Client Id - APP	10 Y Training Contract Id V V V V V V V V V V V V V V V V V V
Identified Rec Trg Out Org Id Identified Rec	Activity P is 52010 pards: 165 shold: 5% reshold: 3% e: TAFE bords tooms [	Delivery .ocation	Client Id	USI	Program Id	Ri Subject I	d Client Id - APP	10 V Training Contract Id V V V V V V V V V V V V V V V V V V
Identified Rec Trg Out Org Identified Rec	Activity F : 52010 pords: 169 shold: 5% reshold: 3: e: TAFE cords icome [	Delivery Location	Client Id	USI	Program Id	Ri Subject I	torrds per page	10 V Training Contract Id V V V V V V V V V V V V V V V V V V

6. The **AVS data-quality reports** can be viewed by going to the *Collection processing* screen and clicking the View reports button.

Home / History /	Processing	
	4-11-	
Sollection De		
	Organisations	
	Type VET Provider Collection	
	Year 2020 V	
	Period Jan-Dec V	
AVETN	AISS Version 8.0	
Colle	ection Period 01/01/2020 - 31/12/2020	
Validate I	RTO collection	
Partial validation	nn - Skip data-	
	quality	
Allow continuing activity er Add Files Pre	o gualify or gualify of the second se	Submission
Allow continuing activity of Add Files Pre	g quitomes for ding this year liminary Checks Validate Finalise	Submission
Allow continuing activity er Add Files Pre Submission F File Id	riles File Name Records Status	Submission
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Allow continuing activity er Add Files Pro Submission F File Id NAT00010 NAT00020		Submission
Allow continuing activity ef Add Files Pre Submission F File Id NAT00010 NAT00020 NAT00030A	File S     File S       File S     File S       Training Organisation     Validated       Training Organisation Delivery Location     171       Validated     Frogram       2248     Error	Submission
Allow continuing activity ef Add Files Pre Submission F File Id NAT00010 NAT00020 NAT00030A NAT00060	Files       Files         Training Organisation       Validate         Training Organisation       Validated         Program       2248         Subject       8203	Submission
Allow continuing activity ef Add Files Pro Submission F File Id NAT00010 NAT00020 NAT00030A NAT00030	File Name       Records       Status         Training Organisation       171       Validated         Training Organisation Delivery Location       171       Validated         Program       2248       Error         Subject       6203       Error         Client       369722       Error	Submission
Allow continuing activity of Add Files Pro Submission F File Id NAT00010 NAT00030A NAT00030A NAT00080 NAT00080 NAT00090	in organility       intervention         p outcomes for ording this year       intervention         itiminary Checks       Validate         Files       File Name       Records       Status         Training Organisation       171       Validated         Training Organisation Delivery Location       171       Validated         Program       2248       Error         Subject       8203       Error         Client       369722       Error         Disability       42373       Validated	Submission
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Allow continuing activity ef Submission F File Id NAT00010 NAT00020 NAT00080 NAT00080 NAT00090 NAT00090 NAT00100	File Name       Records       Status         Files       File Name       Validated       Validated         Training Organisation Delivery Location       171       Validated         Program       2248       Error         Subject       8203       Error         Client       369722       Error         Disability       42373       Validated         Prior Educational Achievement       280124       Validated         Training Activity       3128118       Error	

7. The *Collection Report Summary* screen will launch. Data-quality reports are displayed by *General reports* and by *NATFlile* so to expand click on '+'. Click the **Export details** button to download all the AVS data-quality reports. See <u>full list of VET data-quality reports</u> or you can export them from the *Collection Quality Details* page within AVS. It is important to review the data-quality reports (especially the comparative frequency reports) as that will help identify any unexpected data trend shifts due to database extraction errors.



8. Apply for any data-quality exemption (if required) - Once all validation errors in Step 1 have been fixed you will need to address any remaining data-quality errors. If you are unable to resolve your data-quality errors, you may apply to NCVER for an exemption via the *Collection Quality Details* page within AVS for each data-quality error, click the Apply for Exemption button and then provide the details as to why the exemption is required. Then click the Submit Exemption button. You will receive an email to confirm approval with an explanation pertaining to this exemption. Once the exemption has been granted you will need to re-validate the data in AVS to activate the exemption.

Note: If you upload your data again you will need to apply for the data-quality exemption.

		onQ	uality	/ Dela	ans			
Home /	History / Proc	essing / Error-	Warning Surr	mary / Collectio	n Quality Details			
	Organ	Type : VET Year : 202 Period : Jan	r 0 -Dec		AVETMISS V Perio Perio	/ersion : 8.0 d Start : 01 Jan od End : 31 Dec	2020	
Quality	Code: 12023	(	File: Tral	ning Activity	Na cli ap	me: Matching a ent and contrac prentice and tra	pprenticeship t IDs to inee collectio	n
Descrip	tion							
Details the curr Apprent	the Client iden ent data collectionship Collection	tifier - apprent ction that do no tion.	ticeships, Trai ot exist in the	ining contract ide Training contract	entifier and Progr ct transaction (AP	am identifier com P00150) file as p	binations repo art of the National States (Contract States)	nted in onal
This che via AVS	eck references	; your state's N	National Appre	enticeship Collec	ction data that wa	is submitted for the	he previous qu	arter
Please State/Te	review and if r	ecessary upd	ate the Client	identifier - appr sining activity (N	enticeships and/o AT00120) file.	or Training contra	ct identifier in y	your
Total Tr Base R Identific Allowed Record	alning Activit ecords: 5201 ed Records: d Threshold: ed Threshold	ly Records: 3 0 16912 5% 1: 32.5%	128118					
Reportir	ng Type: TAFE					Records p	er page 10	×
Trg Org Id	Outcome	Delivery Location	Client id	USI	Program Id	Subject Id	Cilent Id - APP	Tral Cor Id
	ł	ł	E	1	1	g		
•	1	ł	2	1		E	Total Count: 2	•
•		1	1				Total Count: 2	238800
Export	Details	1	1	Showing 1 to 1	10 of 238800 rect	ord(s) 🖂 🖌	Total Count: 2 1o(23880 >	► 238800
Export Apply 1	Details			Showing 1 to 1	10 of 238800 reco	ord(s) 🖂 🗶	Total Count: 2 1 of 23880 >	238800 3 1
Export Apply 1	Details for Examption )			Showing 1 to 1	10 of 238800 reco	ord(s) 🖂 🗶	Total Count: 2 1 of 23880 > 2000 characters m	238800
Export Apply 1	Details			Showing 1 to 1	10 of 238800 reco	rd(s) 🖂 🗶	Total Count: 2 1 of 23880 > 2000 churacters m	238800

9. Once all data-quality checks and reports are reviewed and any data-quality exemptions approved you are ready to submit. Refer to AVS User Guide for more information.

#### A&T : Data-quality errors and data-quality reports

- 1. Review your data validation results on the *Collection Processing* page within AVS. Refer to AVS User Guide for more information.
- 2. On the Collection processing page, the bold and <u>underlined</u> status of <u>Error</u> means the file has not validated successfully (i.e. not passed the validation and/or data-quality checks). The bold and underlined status of <u>Validated</u> means the file has validated successfully but contains warnings (i.e. has passed the validation and data-quality checks but contains warnings). Users should review all warnings prior to submitting their data. To establish why the files are failing click on <u>Error</u> in the status column. This could be triggered by either validation or data-quality errors.

Home / History / F	Processing				
Collection Det	tails				
c	Organisations				~
	Туре	Apprentice and Tra	inee Collection		~
	Year	2020			
	Period	Lul Son			
AVETA	ICC Version 7	Jui-Sep V			
Add Files Prel	iminary Checks	Validate			Finalise Submission
Add Files Prei	ilminary Checks iles File Name	Validate		Records	Finalise Submission
Add Files Prei Submission F File Id APP00080 APP00080	ilminary Checks iles File Name Client Deire File	Validate		Records 459200	Finalise Submission Status Error
Add Files Prei Submission F File Id APP00080 APP00100 APP00100	ilminary Checks iles File Name Client Prior Educa Training Co	Validate tional Achievement		Records 459200 192197 1009671	Finalise Submission Status Error Validated
Add Files Prei Submission F File Id APP00080 APP00100 APP00150 APP00160	ilminary Checks illes File Name Client Prior Educa Training Co Employer	Validate ational Achievement ntract Transaction		Records 459200 192197 1098671 79507	Finalise Submission Status Error Validated Error Validated
Add Files Prei Submission F File Id APP00080 APP00100 APP00150 APP00160	ilminary Checks iles File Name Client Prior Educa Training Co Employer	Validate ational Achievement ntract Transaction		<b>Records</b> 459200 192197 1098671 79507	Finalise Submission Status Error Validated Error Validated

3. The *Collection error/warning summary* screen will be displayed. This screen displays the different **validation** or **data-quality** errors/warnings associated with the file. Errors are sorted by code number and a description indicates the reason for the error occurring. Data-quality checks are displayed by reporting type so to expand click on '+'. To obtain further information about an error/warning, click on the *numeric value* in the *Count* column. Data-quality warnings should be reviewed and corrected where possible.

fome / His	tory / Proce	essing / Error-Warning Summary	
	Organi	sation : VIC - 220	
		Type : APP AVETMISS Version : 7.0	
		Period Start: 01 Period Start: 01 Period End: 30	Sep 2020
APP0015	50 - Train	ing Contract Transaction	
Validatio	n Check	5	
		Rec	ords per page 10 🗸
Туре 🔺	Code	Description	Count
Warning	4687	Training Organisation Identifier should match the TGA master list	3353
			Total Count : 335
		Showing 1 to 1 of 1 record(s)	i s laft > >
Export Sur Data Qua	mmary E	Showing 1 to 1 of 1 record(s)	< 1af1 > >
Export Sur Data Qua	mmary E	Showing 1 to 1 of 1 record(s)	erds per page 10
Export Sur Data Qua	code	Showing 1 to 1 of 1 record(s)	ords per page 10 V
Export Sur Data Qua Type 4 Error Error	Code 15062 15058	Showing 1 to 1 of 1 record(s) Export Details Record Description Previous collection comparison - Transactions Previous collection comparison - ANZSIC (2 digit)	x 1 of 1 > > x
Export Sur Data Qua Type A Error Error Error	Code 15062 15058 15045	Showing 1 to 1 of 1 record(s)  Coport Details  Reco  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - School Based Flag	xrds per page 10 Count 000121 00021 1016
Export Sur Data Qua Type Error Error Error Error	Code 15062 15058 15045 15061	Showing 1 to 1 of 1 record(s)  Coport Details  Rec  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - School Based Flag  Previous collection comparison - Training Organisation	x 1of1 > > x 1of1 + x
Export Sur Data Qua Type Error Error Error Error Error Error Error	Code 15062 15045 15061 15050	Showing 1 to 1 of 1 record(s)  Coport Details  Rec  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - School Based Flag  Previous collection comparison - Training Organisation  Previous collection comparison - Workplace Postcode	x 1of 1 > > x 1of 1 = x
Export Sur Data Qua Type 4 Error Error Error Error Error Error Error Error Error	Code 15062 15058 15045 15061 15050 15051	Showing 1 to 1 of 1 record(s)  Coport Details  Cks  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - School Based Flag  Previous collection comparison - Training Organisation  Previous collection comparison - Training Organisation  Previous collection comparison - Workplace Postcode  Previous collection comparison - Workplace Postcode  Previous collection comparison - Workplace Suburb	x 1ef1 > > x 1ef1 > > x 2eds per page 10 ↓ Count 790121 85921 1016 3799 34088 48330
Export Sur Data Qua Type A Error Error Error Error Error Error Error Warning	Code 15062 15058 15045 15061 15050 15051 15055	Showing 1 to 1 of 1 record(s)  Coport Details  Reco  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - Training Organisation  Previous collection comparison - Workplace Postcode  Previous collection comparison - Workplace Suburb  Previous collection comparison - Existing Worker Flag	x 1 of 1 > x ords per page 10 Count 790121 005421 1016 3799 34088 48330 68
Export Sur Data Qua Type A Error Error Error Error Error Error Warning Warning	Code 15062 15062 15065 15061 15050 15051 15055 15067 15057	Showing 1 to 1 of 1 record(s)  Coport Details  Reco  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - Training Organisation  Previous collection comparison - Workplace Postcode  Previous collection comparison - Workplace Suburb  Previous collection comparison - Existing Worker Flag  Previous collection comparison - State Id (Workplace)  Previous collection c	xts per page 10 Count 1016 790121 055421 1016 3799 34088 46330 68 7
Export Sur Data Qua Type A Error Error Error Error Error Error Warning Warning	Code 15062 15062 15065 15061 15055 15065 15055 15057 15059	Showing 1 to 1 of 1 record(s)  Coport Details  Reco  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - Training Organisation  Previous collection comparison - Workplace Postcode  Previous collection comparison - Workplace Suburb  Previous collection comparison - Existing Worker Flag  Previous collection comparison - State Id (Workplace)  Previous collection comparison - State Id (Workplace)  Previous collection comparison - State Id (Workplace)  Previous collection comparison - Cult Previou	xts per page 10 ▼ Count 1018 1018 3799 34088 48330 68 7 8
Export Sur Data Qua Type * Error Error Error Error Error Error Error Warning Warning Warning Warning	Code 15062 15068 15045 15061 15050 15051 15055 15057 15059 15048	Showing 1 to 1 of 1 record(s)  Coport Details  Reco  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - Training Organisation  Previous collection comparison - Training Organisation  Previous collection comparison - Workplace Postcode  Previous collection comparison - Existing Worker Flag  Previous collection comparison - State Id (Workplace)  Previous collection comparison - State Id (Workplace)  Previous collection comparison - Commencement Date  Previous collection comparison - Full Time Id	ords per page 10 ▼ Count 790121 00921 1016 3799 34088 48330 68 7 8 634
Export Sur Data Qua Type Error Error Error Error Error Error Error Warning Warning Warning	Code 15062 15058 15045 15061 15050 15051 15055 15057 15059 15048	Showing 1 to 1 of 1 record(s)  Coport Details  Reco  Description  Previous collection comparison - Transactions  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - ANZSIC (2 digit)  Previous collection comparison - Training Organisation  Previous collection comparison - Training Organisation  Previous collection comparison - Workplace Postcode  Previous collection comparison - Existing Worker Flag  Previous collection comparison - State Id (Workplace)  Previous collection comparison - State Id (Workplace)  Previous collection comparison - Commencement Date  Previous collection comparison - Full Time Id	x 1ef1 > > x 1ef1 > > x 1ef1 > > x 2 x 2 x 2 x 2 x 2 x 2 x 2 x 2

- 4. Click on Export Summary to download an Excel file with the count of records per data-quality check. Click on Export details to download the individual records that trigger each data-quality check.
- 5. The *Collection quality details* page will be displayed. Click on **Export details** to download the individual records (in Excel format) that trigger each data-quality check.

Home / History / Proces	sing / Error-Warn	ing Summary / Collection O	uality Det	ails
······································			,	
Organisa	ation :			20 Marrian - 7.0
	Year: 2020		AVETMIS	Period Start : 01.Jul 2011
Pe	eriod : Jul-Sep		F	Period End : 30 Sep 2020
Quality Code: 15004	F	ile: Training Contract Tran	saction	Name: Previous collection comparison - Client and Contracts in combination
Description				
Training Contract Identif in the current collection	ier and Client Ide for clients that co	ntifier (in combination) appe mmenced or recommenced	ear in the I in a Trair	previous collection but no longer appear ning Contract.
Total Training Contract	Transaction Re	cords: 1098671		
Identified Records: 125350 Identified Records: 78 Allowed Threshold: 0.3 Recorded Threshold: 6	3772 3% 52.5%			
Identified Records: 78: Allowed Threshold: 0.3 Recorded Threshold: 6	8772 9% 52.5%			Records per page 10 V
Identified Records	7772 19% 12.5%		raining (	Records per page 10 V
Identified Records Recorded Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	7772 1% 12.5% renticeships	T	raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	7772 1% 12.5% renticeships	T	raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	7772 1% 12.5% renticeships	T	raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	7772 1% 12.5% renticeships	Ţ	raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	97772 1% 12.5% renticeships	T	raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	97772 1% 12.5% renticeships	T	raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	7772 1% 12.5% renticeships	T	'raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	97772 1% 12.5% renticeships	Ţ	raining C	Records per page 10 V
Identified Records Allowed Threshold: 0.3 Recorded Threshold: 6 Identified Records Client Identifier - App	7772 1% i2.5% renticeships	T	raining C	Records per page 10 V

6. The AVS data-quality reports can be viewed by going to the *Collection processing* screen and clicking the View reports button.

	Processing			
Collection De	tails			
				~
	Apprentice and Trainee	Collection		~
	Year 2020 V			
	Period Jul-Sep 🗸			
Add Files Pro	iminary Checks Validate			Finalise Submissio
Add Files Pro	iminary Checks Validate iles File Name	Rec	ords St	Finalise Submissio
Add Files Pro	iminary Checks Validate iles File Name Client	<u>Rec</u> 45	ords St 9200 Er	Finalise Submissio atus <u>ror</u>
Add Files Pro	iminary Checks Validate iles File Name Client Prior Educational Achievement	<u>Rec</u> 45	ords St 9200 Er 2197 Va	Finalise Submission
Add Files Pro	iminary Checks Validate iles File Name Client Prior Educational Achievement Training Contract Transaction	Rec 45 19 109	ords St 9200 Er 2197 Va 8671 Er	Finalise Submission

7. The *Collection Report Summary* screen will launch. Data-quality reports are displayed by *General reports* and by *AVETMISS File* (i.e. APP00080) so to expand click on '+'. Click the **Export details** button to download all the AVS data-quality reports. See <u>full list of A&T data-quality reports</u> or you can export them from the *Collection Quality Details* page within AVS.

Collection Report S	ummary
Home / History / Processing / Report Summary	
Organisation :	
Type: APP	AVETMISS Version : 7.0
Year: 2020	Period Start : 01 Jul 2011
Period : Jul-Sep	Period End : 30 Sep 2020
+ APP00080 - Client	
+ APP00150 - Training Contract Transaction	
Export Details	

8. Apply for any data-quality exemption (if required) - When all validations errors are addressed and fixed in Step 1, any data-quality errors can now be addressed. If you have not addressed all validation errors the Apply for exemption button will be greyed out. If you are unable to resolve your data-quality errors, you may apply to NCVER for an exemption via the *Collection Quality Details* page within AVS for each data-quality error, click on the Apply for Exemption button and then provide details as to why the exemption is required. Then click Submit Exemption button. You will receive an email on whether the exemption request was granted or declined. Once the exemption has been granted you will need to re-validate the data in AVS for the exemption to be activated.

**Note:** If you resubmit or re-upload your data again you will need to apply for the data-quality exemption again.

Torre 7 History / Frocessing /	Error-Warning Summary / Co	llection Quality Details	
Organisation			
Type	: APP 2020	AVETMISS Version : 7.0 Region Start : 01	Jul 2011
Period	Jul-Sep	Period End : 30	Sep 2020
Quality Code: 15062	File: Training Cont	tract Transaction Name: Previou comparison - 1	s collection ransactions
Description			
Fraining Contract Identifier an he previous collection but no Fraining Contract	d Client Identifier, Training Co longer appear in the current o	entract Status, Date of Transaction ( collection for clients that commenced	n combination) appear ir I or recommenced in a
Total Training Contract Tran Base Records: 1266039 dentified Records: 790121 Allowed Threshold: 0.2% Recorded Threshold: 62.4%	nsaction Records: 1098671		
		Reco	rds per page 10 🗸
dentified Records			
Client Identifier - Apprenticeships	Training Contract Identifier	Training Contract Status Identifier	Date of Transaction
5			Total Count: 79012
$\square$		a 1 to 10 of 700131 coord(-)	Total Count: 79012
Exact Patrice	Showing	g 1 to 10 of 790121 record(s) 📧	Total Count: 79012:
Export Details	Showing	g 1 to 10 of 790121 record(s) 📧	Total Count: 79012:
Export Details	Showing	g 1 to 10 of 790121 record(s) 📧	Total Count: 79012: < 1 of 79013 > > > 2000 characters remaining
Export Details Apply for Exemption	Showing	g 1 to 10 of 790121 record(s) 📧	Total Count: 79012: < 1 of 79013 > > > 2000 characters remainin

9. Once all data-quality checks and reports are reviewed and any data-quality exemptions approved you are ready to submit. Refer to AVS User Guide for more information.

## How to access past (historical) AVS data-quality results

You can access past (historical) data-quality results via the **DQ Results** button. It is active for all APP (apprentice and trainee) and NAT (VET Provider collection) on which data-quality runs quarterly.

For the VET in Schools collection data-quality runs annually therefore the **DQ Results** button will only be active for the Jan-Dec collections.

#### Viewing past results

Data-quality results for previous submitted data submissions can be viewed by going to the *Collection History* screen, filtering on the relevant collection information (i.e. Trading Name, Type, Year, Period, and Status is 'Submitted'). To view the results, click on the **DQ Results** button at the end of the table as shown below.



Trading Name	Date ♦	Type ∳	Period	Year \$	Uploaded 🍦	Status 🝦	Last Submission DQ Results
NCVER	Thu, 14/11/2019 15:12	APP	Jul-Sep	2019	Yes	Submitted	DQ Results

To view these records, expand the '+' *General Reports* section and click **Summary**. To access detailed information click on the numeric value in the *Identified Records* column which will take you to a *Collection Quality Details* screen for that check.

#### Output

h	APP File	Identified Records	Base Records	Recorded Threshold	Allowed Threshold	Check Name
	APP00150	2 5	20159	0	0.5	Previous collection comparison - Client and Contracts in combination

Here you will see a description of the check (Check Name), information on the number of records identified and the allowed and recorded tolerance threshold.

#### User interface tips

The mouse will change to the hand icon - indicating that a clickable link has been found. Click on the number of identified records and it will take you to a *Collection Quality Details* screen. Similarly, the hand icon will appear for rows with zero identified records. Clicking these rows will **not** take you to the *Collection Details* screen as no records are found. The *Summary report*, as part of retrieving previous DQ Results, has been custom built to replicate the existing Summary report.

To review the identified records for a collection you are currently processing - navigate to the *Collection error/warning summary* and in the *Data-quality check* section click on the count and the

hand icon will appear. Click these rows and it will take you to the *Collection Details* screen for that data-quality check.



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